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MORRISON & FOERSTER LLP

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San Francisco, California 94105-2482
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U.S. Patent Office

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From: Otis Littlefield

Date: February 25, 2004

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Comments:

Re: U.S. Patent Application Serial No. 09/710,340
For: METHOD FOR ASSIGNING AN INDIVIDUAL TO A POPULATION OF
ORIGIN BASED ON MULTI-LOCUS GENOTYPES
By: Jeremy F. TAYLOR et al.
Our reference: 52964-20008.00

Attached are the following:


1. Transmittal (1 page)
2. Fee Transmittal (in duplicate, 2 pages)
3. Petition for Extension of Time (1 page)
4. Notice of Appeal (1 page)
5. Communication Regarding Extension Fees (2 pages)

PTO/SB/21 (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/710,340	
	Filing Date	November 9, 2000	
	First Named Inventor	Jeremy TAYLOR	
	Art Unit	1637	
	Examiner Name	A. Spiegler	
Total Number of Pages in This Submission		7	
		Attorney Docket Number	529642000800

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate, 2 pages) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (1 page) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) (1 page) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication Regarding Extension Fees (2 pages)
<div style="border: 1px solid black; display: inline-block; padding: 2px; margin-bottom: 5px;">Remarks</div> Sent via Facsimile (Facsimile Coversheet not counted as part of this submission)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 20872) Otis Littlefield - 48,751
Signature	
Date	February 25, 2004

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-9306, on the date shown below.	
Dated: February 25, 2004	Signature: <u>Valerie Cohen</u> (Valerie Cohen)

sf-1650656

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0551-0032
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known																																																																																																																																																																																			
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late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1083	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for ex parte reexamination		1904	920*	1904	920*	Requesting publication of SIR prior to Examiner action		1905	1,840*	1905	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	850	2253	475	Extension for reply within third month	475.00	1254	1,450	2254	725	Extension for reply within fourth month		1256	1,970	2256	985	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal	165.00	1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or release)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(a)		1808	180	1808	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(b))		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application	
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Name (Print/Type): Otis Littlefield		Complete (if applicable)																																																																																																																																																																																			
Signature:		Registration No. (Attorney/Agent): 48,751	Telephone: (415) 268-8846																																																																																																																																																																																		
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I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-9306, on the date shown below.

Dated: February 25, 2004

Signature: (Valerie Cohen)

sf-1650677

PTO/SB/17 (01-03)

Approved for use through 04/30/2003, OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	November 9, 2000	
		First Named Inventor	Jeremy F. TAYLOR	
		Examiner Name	A. Spiegler	
TOTAL AMOUNT OF PAYMENT (\$)		430.00	Attorney Docket No.	529642000800
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)		
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Deposit Account Number		Large Entity Small Entity		
03-1952		Fee Code Fee (\$)		
Deposit Account Name		Fee Code Fee (\$)		
Morrison & Foerster LLP		Fee Description		
The Commissioner is hereby authorized to: (check all that apply)		Fee Paid		
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments				
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application				
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FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity Small Entity				
Fee Code Fee (\$)		Fee Code Fee (\$)		
1001 750		2001 375		
1002 330		2002 165		
1003 520		2003 260		
1004 750		2004 375		
1005 160		2005 80		
SUBTOTAL (1) (\$)				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				
Total Claims		Extra Claims		
23		-23=		
Independent Claims		Fee from below		
1		-3=		
Multiple Dependent		Fee Paid		
		0.00		
Large Entity Small Entity				
Fee Code Fee (\$)		Fee Code Fee (\$)		
1202 18		2202 9		
1201 84		2201 42		
1203 280		2203 140		
1204 84		2204 42		
1205 18		2205 9		
SUBTOTAL (2) (\$)		0.00		
**or number previously paid, if greater; For Reissues, see above				
SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)		Registration No.		
Otis Littlefield		48,751		
Signature		Telephone		
		(415) 268-6846		
		Date		
		February 25, 2004		
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-9306, on the date shown below.				
Dated: February 25, 2004				
Signature: (Valerie Cohen)				

sf-1650677

PTO/SB/22 (08-03)

Approved for use through 7/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket No. (Optional) 529642000800	
In re Application of Jeremy F. TAYLOR			
Application Number 09/710,340		Filed November 9, 2000	
For: METHOD FOR ASSIGNING AN INDIVIDUAL TO A POPULATION OF ORIGIN BASED ON MULTI-LOCUS GENOTYPES			
Art Unit 1637		Examiner A. Spiegler	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$	
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$	
<input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$	950.00
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$	
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$	

☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: **\$475.00 (See Attached Communication Re Extension Fees)**

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 03-1952.

~~I have enclosed a duplicate copy of this sheet.~~ Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

I am the ☐ applicant/inventor.

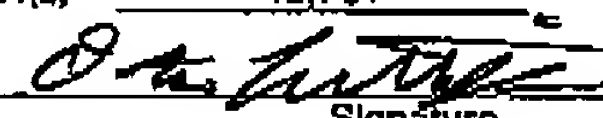
☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☐ attorney or agent of record. Registration Number _____

☒ attorney or agent under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a) 48,751

February 25, 2004
Date

(415) 268-6846
Telephone Number


Signature

Otis Littlefield
Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 1 forms are submitted.

SF-1650664

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